



sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2652

Examiner: Not yet assigned

In re application of

Takao SHIMAMURA, et al.

Appln. No.: 09/749,631

Confirmation No.: 5992

Filed: December 28, 2000

For:

DISC PLAYER CAPABLE OF REPRODUCING A PARTIALLY RECORDED DISC

SUBMISSION OF EXECUTED DECLARATION

ATTN: BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted", mailed February 14, 2001, for the above application, Applicant respectfully submits herewith the Declaration for the above identified application properly executed by the inventors. Also enclosed please find an executed Assignment and PTO Form 1595.

Checks for the statutory fee of \$1,314.00 and Assignment recordation fee of \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

The executed documents are being filed on Monday, April 16, 2001. Since the due date for filing these documents fell on a Saturday, the filing of the documents on April 16, 2001 is considered timely.

Respectfully submitted,

Darryl Mexic

Registration No. 23,063

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC 2100 Pennsylvania Avenue, N.W. Washington, D.C. 20037-3213 Telephone: (202) 293-7060 Facsimile: (202) 293-7860

DM/slb

Date: April 16, 2001







COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/749,631

12/28/2000

Takao Shimamura

O62040

CONFIRMATION NO. 5992

SUGHRUE, MION, ZINN MACPEAK & SEAS, PLLC

2100 PENNSYLVANIA AVENUE, N.W.:

04/17/2001 SDIRETA1 00000035 09749631

FORMALITIES LETTER

01 FC:101

710.00 DP 234.00 OP

02 FC:103 03 FC:102 04 FC:105

240.00 OP

130.00 OP Date Mailed: 02/14/2001

PASHINGTON, DC 20037-3213

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$474.
 - \$234 for 13 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1314.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

 drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE